

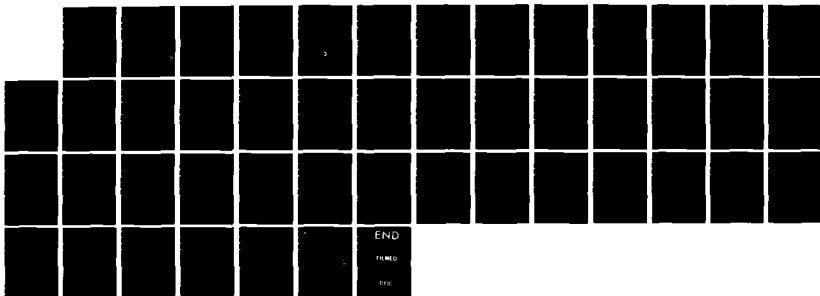
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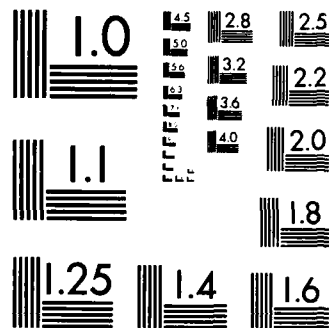
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NRL Memorandum Report 5560

## The Plasma Erosion Opening Switch

R. J. COMMISSO, G. COOPERSTEIN, R. A. MEGER, J. M. NERI,  
P. F. OTTINGER AND B. V. WEBER\*

*Plasma Technology Branch  
Plasma Physics Division*

*\*JAYCOR, Inc.  
Alexandria, VA 22304*

May 14, 1985

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## THE PLASMA EROSION OPENING SWITCH

### I. Introduction

Inductive energy storage in conjunction with opening switch techniques has advantages over conventional, capacitive power conditioning for high-energy ( $>10$  MJ), high-power ( $>10^{12}$  W) applications.<sup>1</sup> The principal advantage of inductive storage derives from the 10-100 times higher energy density possible with energy stored in magnetic fields compared with electric fields and the fact that the energy can be stored at low voltage. In theory, this makes compact and economical generators possible.<sup>2</sup> In any inductive storage scheme, the opening switches represent the most critical elements. One such switch is the plasma erosion opening switch (PEOS). This switch has been proposed to be used either by itself<sup>3</sup> or in sequence with other opening switches<sup>4</sup> in inductive-store pulsed-power systems. Recently, inductive-store/pulse-compression techniques employing the PEOS have been successfully adapted to conventional pulsed-power generators for the purpose of improving their performance, e.g., peak voltage, peak power, pulse width.<sup>5-11</sup> In addition to inductive store applications, the PEOS has been used extensively in high power generators for prepulse suppression, improving power flow symmetry, and current risetime sharpening.<sup>5,6,12-18</sup> Depending on the specific application, the PEOS has demonstrated opening times less than 10 ns, conduction times approaching 100 ns, conduction currents as high as 5 MA, and voltage generation over 3 MV without breakdown. Present research is directed toward obtaining a better understanding of PEOS physics and the interaction of the PEOS with the other system components to optimize system performance.

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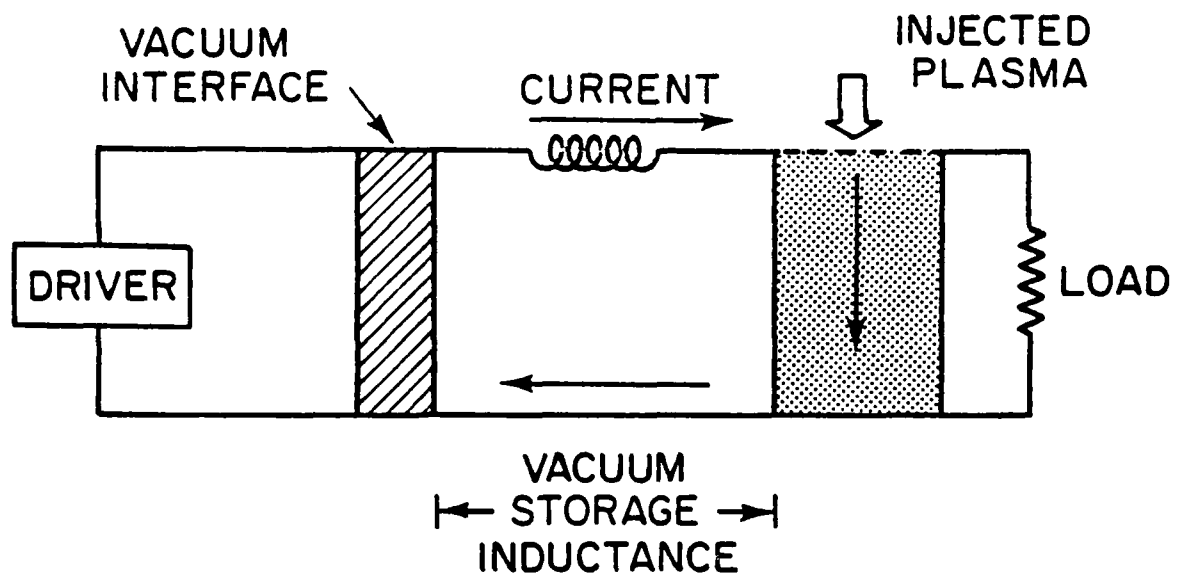
\*JAYCOR, Inc., Alexandria, Va.

A typical PEOS system is sketched in Fig. 1. Here, the driver may be a conventional pulsed-power generator, a slow capacitor bank (or homopolar generator) with one or more stages of pulse compression prior to the PEOS, or any other appropriate source of current. The PEOS utilizes a source (or sources) of plasma<sup>19,20</sup> located in vacuum between the driver and load. The geometry is such that the plasma is injected between the electrodes so that the plasma is electrically in parallel with the load. The fact that the switch is in vacuum, physically close to the load, helps to minimize the inductance between the load and the switch. Under proper conditions the plasma can conduct the generator pulse to a specified current level, energizing the storage inductance and isolating the load [Fig. 1(a)]. When this current level is reached the switch opens [Fig. 1(b) and (c)] and the generator current is diverted to the load in a time that is short compared with the conduction time. The efficiency of current transfer depends strongly on how well the switch plasma parameters are matched to the system parameters (current, switch area, distribution of inductances, etc.).

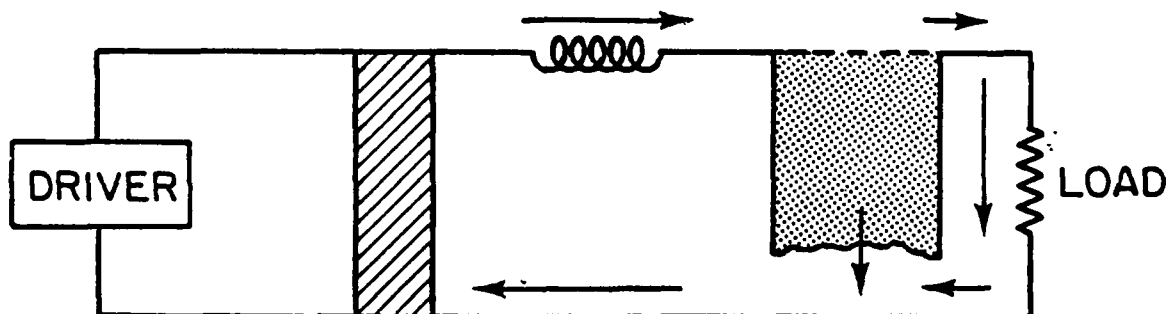
Physics understanding of the PEOS has evolved over the years as a result of close interplay between theory and experiment. In this article, we first present important aspects of the switch operation from a phenomenological point of view, so that the reader can obtain a sense of the relevant parameter regimes and switch operational characteristics (Sec. II). This discussion is immediately followed by a description of the switch physics in Sec. III. Using this PEOS physics we outline generic PEOS system performance limits. We review examples of present and future applications of the PEOS in Sec. IV. To provide a consistent and convenient data base, we use the results obtained from the Gamble I generator<sup>21</sup> at the Naval Research Laboratory (NRL).



(a) SWITCH CONDUCTION



(b) SWITCH OPENING



(c) SWITCH OPEN

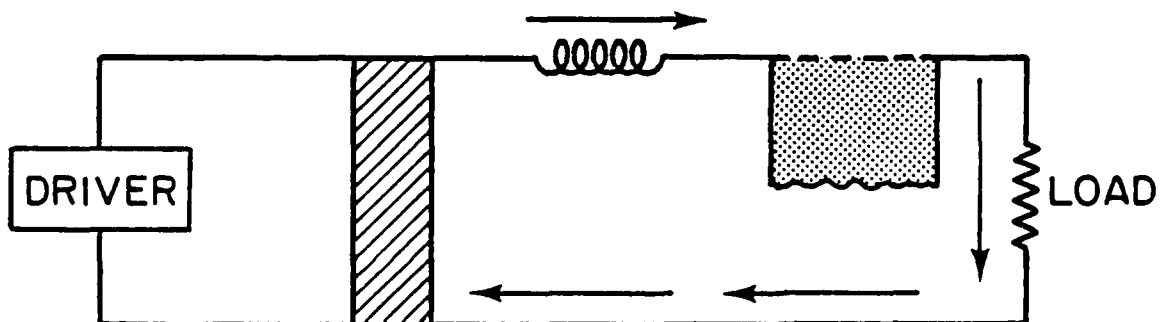


Fig. 1 — Schematic representation of PEOS operation

## II. PEOS Phenomenology

The general operation of the PEOS can be described with the aid of Fig. 2(a), which is a schematic of the front end of the Gamble I generator configured for inductive-store/pulse-compression experiments with a PEOS.<sup>10</sup> Also shown are a more detailed view of the switch region [Fig. 2(b)] and a typical generator voltage waveform [Fig. 2(c)] measured at the vacuum insulator with an open circuit load. In Fig. 2(a), Gamble I is shown with an electron-beam (e-beam) diode load while in Fig. 2(b) the e-beam diode is replaced with a short circuit. Plasma is injected toward the cathode (inner conductor) through a screen anode. The plasma source consists of three carbon plasma guns<sup>20</sup> equally spaced in azimuth around the inner conductor. The generator is fired a time interval  $t_D$  after firing the guns. Negative voltage is applied to the center conductor and current flows through the plasma, energizing the coaxial storage inductance. For the proper combination of plasma parameters the behavior illustrated in Fig. 3 is obtained for a short-circuit load. At some time during the pulse, the generator current  $I_G$  (measured by Rogowski loop #1) is rapidly diverted ( $\sim 10$  ns opening time) to the load, as evidenced by the time history of the load current  $I_L$  (measured by Rogowski loop #2). An equivalent circuit for this arrangement is shown in Fig. 4. Here Gamble I (the driver of Fig. 1) is modeled by a voltage source,  $V_G$  [Fig. 2(c) for Gamble I], in series with a generator resistance,  $R_G$  (2  $\Omega$  for Gamble I). The PEOS is represented by a variable resistance  $R_S$ . The transition inductance  $L_T$  is the small ( $\ll L_0$ ), parasitic inductance associated with the region between the switch and load and  $R_L$  is the load resistance ( $R_L = 0$  for a short circuit).

For a given generator pulse and load, the switch behavior can change quite markedly by varying the plasma-gun to electrode separation, the time

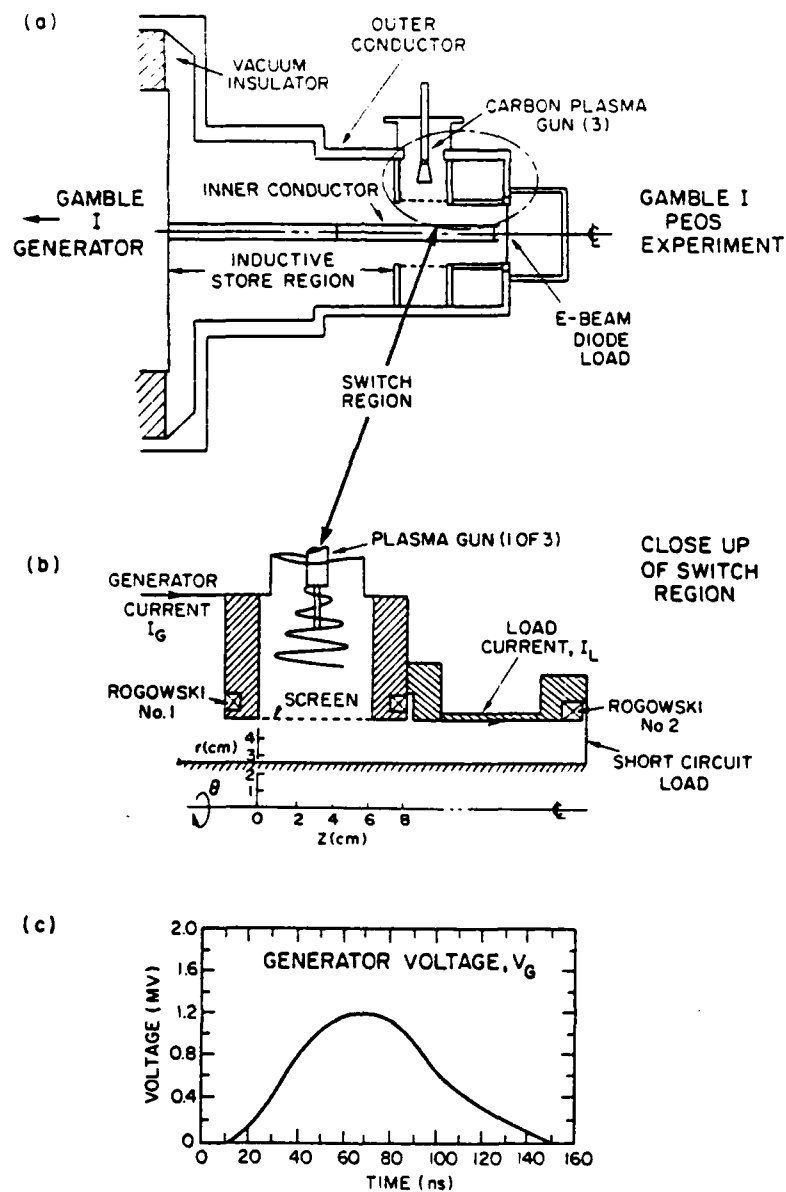


Fig. 2(a) — Schematic of Gamble I PEOS experiment showing relative location of generator, storage inductance, PEOS region, and load. (b) Close up of switch region showing current diagnostics, electrode polarity, and plasma gun orientation. Here the load is a short circuit. (c) Typical Gamble I generator voltage waveform.

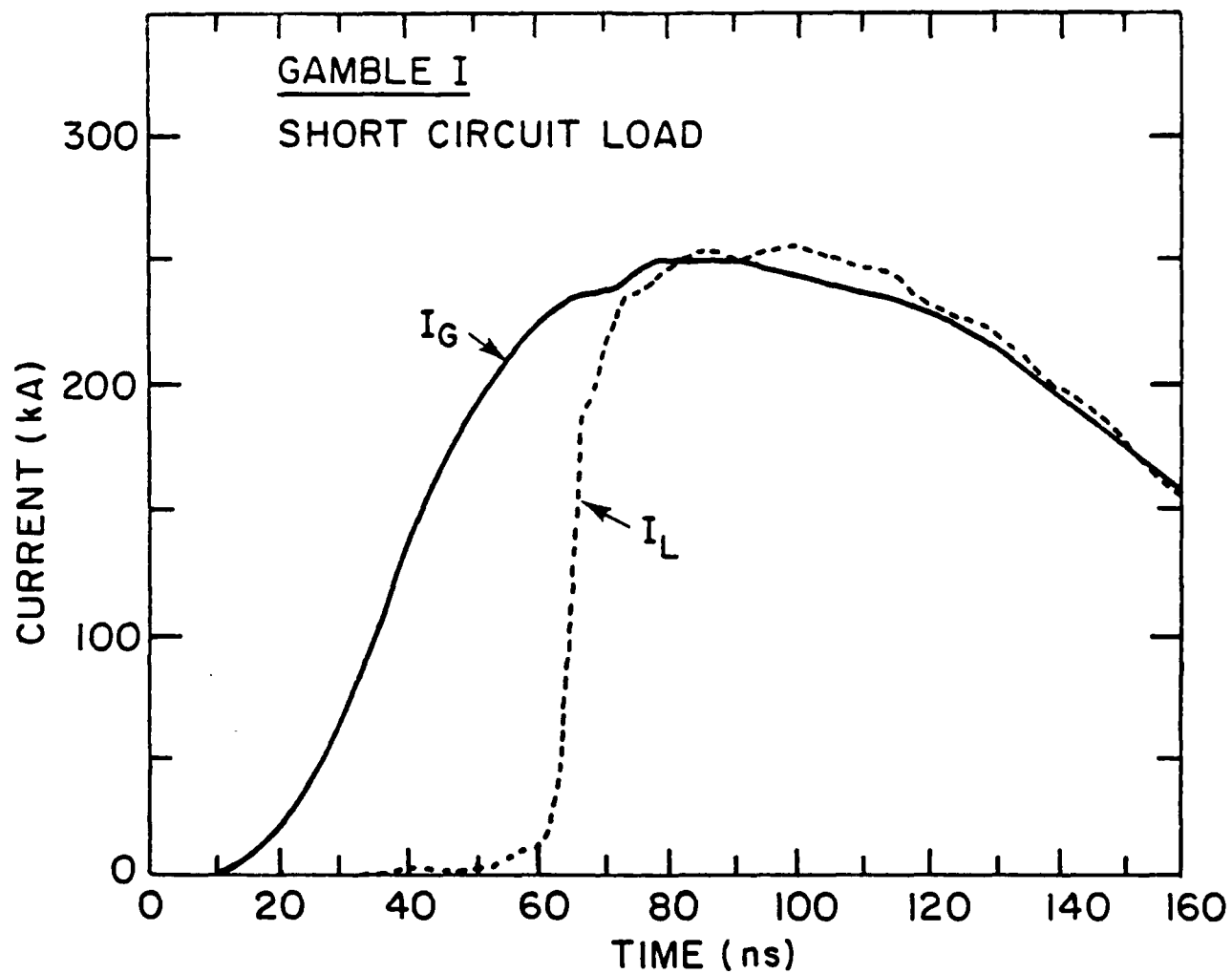


Fig. 3 — Measured currents flowing through the generator ( $I_G$ ) and load ( $I_L$ ) for Gamble I with a short circuit load

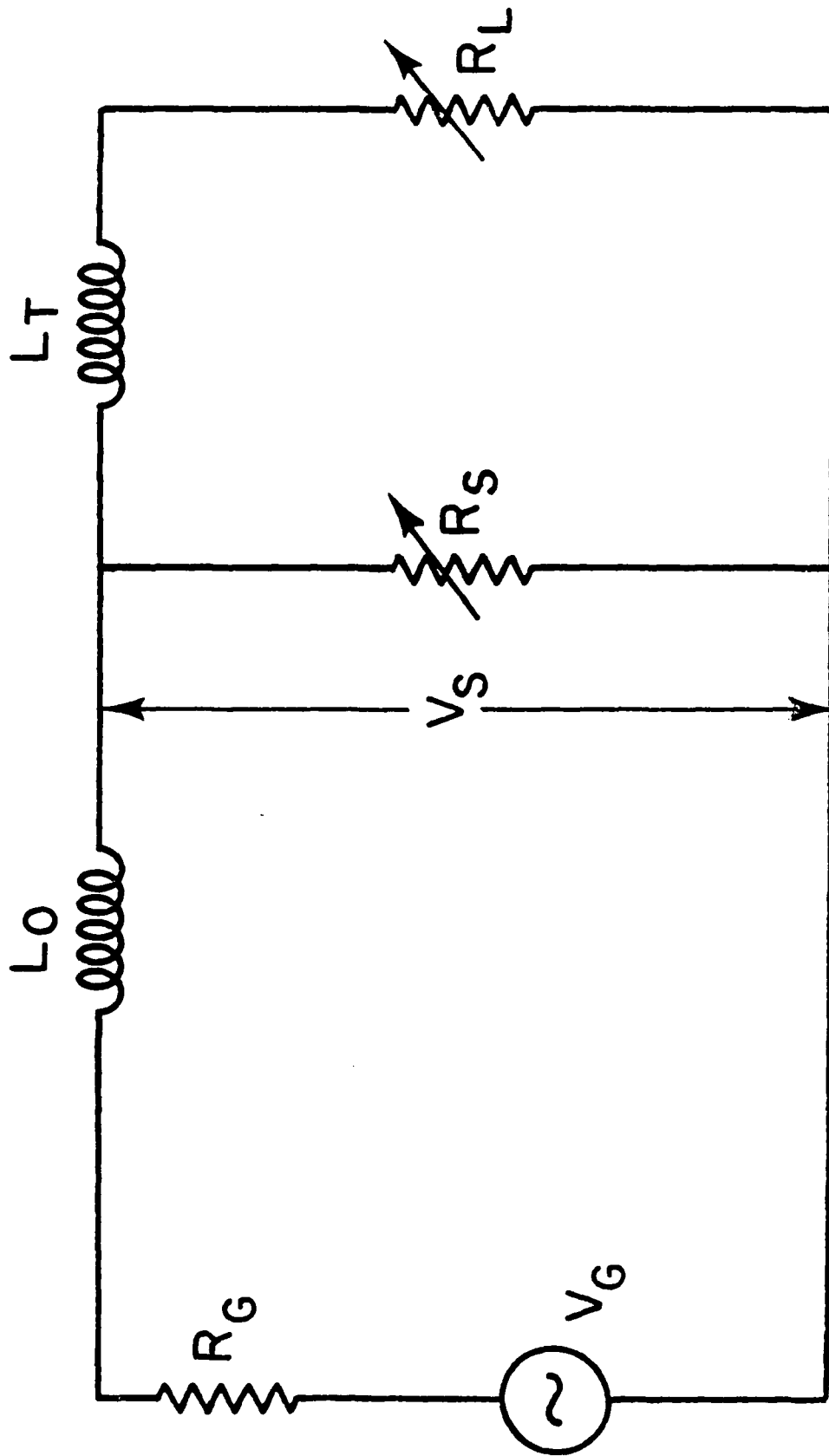


Fig. 4 — Generic equivalent circuit for the application of inductive-store/pulse-compression techniques to conventional generators

interval  $\tau_D$ <sup>22</sup> and the direction of plasma injection with respect to the polarity of the electrodes.<sup>23</sup> All of these factors affect the relevant PEOS plasma parameters at the time the generator is fired.<sup>24,25</sup> With the configuration illustrated in Fig. 2(b), the fastest openings at the highest currents for the Gamble I parameters occur when  $\tau_D$  is such that the plasma injection speed is  $\geq 7$  cm/ $\mu$ s, and the switch plasma electron density  $n_e$  is  $n_e = 3 \times 10^{13} \text{ cm}^{-3}$ . Here, the plasma is predominantly  $C^{++}$  and the electron temperature is  $T_e = 5 \text{ eV}$ . At larger  $\tau_D$ ,  $n_e$  increases to  $\geq 5 \times 10^{14} \text{ cm}^{-3}$ , the plasma no longer has large drift speed toward the cathode, and  $C^+$ , neutral carbon, and hydrogen have slowly diffused into the switch region from the walls. Under these conditions the PEOS is observed to conduct longer, but the opening is much slower. For large-enough  $\tau_D$ , the PEOS conducts the entire Gamble I current pulse and never opens. An illustration of this behavior appears in Fig. 5, which is a plot of  $I_L$  as a function of time for various values of  $\tau_D$  obtained from Gamble I with  $R_L = 0$  [Fig. 2(b)].

The importance of controlling the direction of plasma injection has been demonstrated by varying the polarity of the electrodes and the injection geometry.<sup>23</sup> Data taken with Gamble I configured as in Fig. 2(b) along with illustrations of the specific plasma injection geometry and electrode polarity are shown in Fig. 6. The data represent optimized opening switch performance. The PEOS behavior is severely degraded for inward radial injection toward the anode [Fig. 6(b)] compared with the case of inward radial injection toward the cathode [Fig. 6(a)]. Using a flashboard source, outward radial injection toward the cathode was achieved. This recovers the fast opening behavior of Fig. 6(a), as illustrated in Fig. 6(c).

Much useful information concerning the switch operation can be conveniently obtained using  $R_L = 0$ ; however, most applications of interest

$$I_i = Ze n_i A (v_d + \frac{dD}{dt}) \quad (6)$$

and  $I_i$  is given by Eq. (3). As the gap increases in response to the increasing electron current the voltage across the gap (switch) also increases (because of the Child-Langmuir law) as  $V_S^{3/2} \propto D^2 I_S$ . If this voltage drives current through the load, we may say that the switch begins to open [Fig. 10(a)].

Until this point the effects of the magnetic field associated with  $I_S$  have been ignored. These effects become important when the typical electron Larmor radius becomes comparable to the gap size. For cylindrical geometry, particle-in-cell (PIC) code simulations show that magnetic field effects become important when  $I_S$  reaches a critical value given by<sup>35</sup>

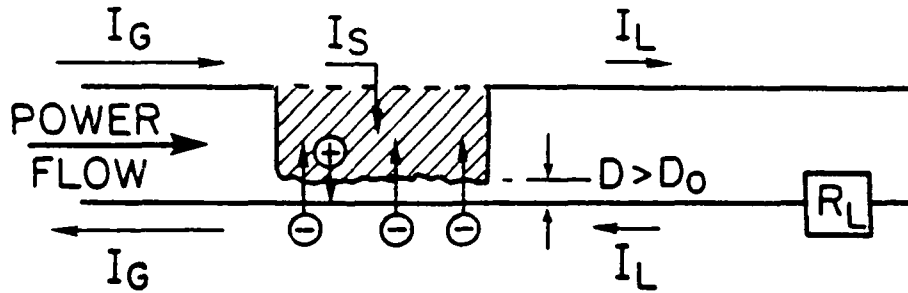
$$I_S \geq I_C \equiv 1.36 \times 10^4 (\gamma^2 - 1)^{1/2} \frac{r_c}{D}, \quad (7)$$

where  $I_C$  is in amperes and  $\gamma$  is the ratio of the electron energy to its rest energy. (The PIC code results differ only slightly from simple analytic estimates.)

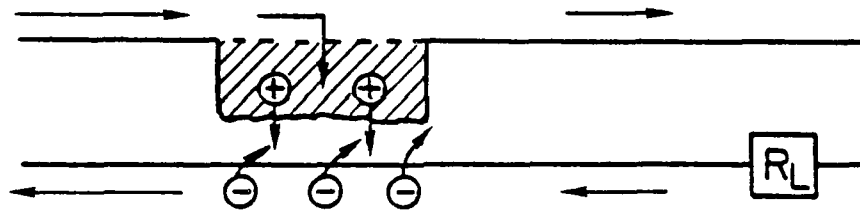
When  $I_S$  approaches  $I_C$  of Eq. (7), the electron flow across the gap changes and the electron space-charge distribution in the gap is altered. Electrons now  $\vec{E} \times \vec{B}$  drift along the switch length in the self-consistent electric and magnetic fields in the gap, traveling a distance characterized by the length of the switch,  $\sim \lambda$ , rather than just crossing the gap,  $D$ , before reaching the plasma. An important consequence associated with this alteration of the electron space charge is a modification of the bipolar space-charge-limited flow condition. The electron space charge near the plasma surface is

## SWITCH OPENING

### (a) EROSION PHASE



### (b) ENHANCED EROSION PHASE



### (c) MAGNETIC INSULATION PHASE

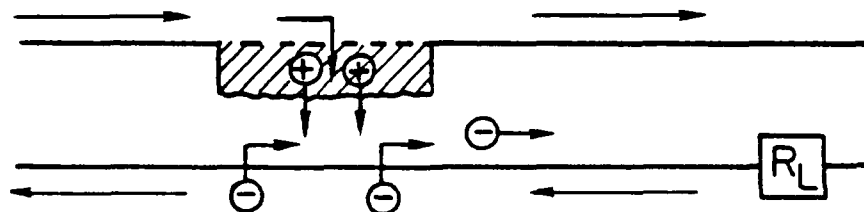


Fig. 10 — Schematic of switch opening: (a) erosion phase, (b) enhanced erosion phase, and (c) magnetic insulation phase.



other processes that provide additional ion flux become dominant, e.g., as a result of plasma-wall interaction or arc phenomena, control of not only conduction but also the rapid opening may be lost. Fortunately, these processes usually take a long time (compared with the timescale for switch operation) to manifest themselves. Control of  $r_i$  is achieved for the carbon plasma guns discussed previously by varying  $\tau_D$ , as suggested in Sec. II (Fig. 5). In Fig. 9 a more quantitative demonstration of this control is displayed.<sup>22</sup> Using Gamble I configured as in Fig. 2(b), the maximum switch current  $I_S^0$  ( $I_S \equiv I_G - I_L$ ) was measured as a function of  $\tau_D$ . The ion density and drift velocity at different  $\tau_D$  were estimated from double floating probes and Faraday cups and used in a circuit interactive computer code that models the entire switch operation.<sup>28</sup> The final current channel width,  $z$ , was estimated from the magnetic field measurements.<sup>32</sup> The model, which incorporates the current limit of Eq.(5), and experimental results agree.

## 2. Switch Opening

The switch opening can be described in three phases: erosion, enhanced erosion and magnetic insulation. These three phases are schematically depicted in Fig. 10. When the current limit of Eq. (5) is reached the plasma must respond in such a way that space charge condition of Eq. (3) remains satisfied. This is accomplished by the gap size increasing.<sup>18,27,33,34</sup> That is, the boundary between the plasma and the non-neutral gap recedes from the cathode, exposing new ions. This process is termed "erosion" and it increases the rate at which ions enter the gap. In the frame of the plasma boundary, the ions acquire an additional drift speed equal to  $dD/dt$ , where  $D(t)$  is the gap size determined by

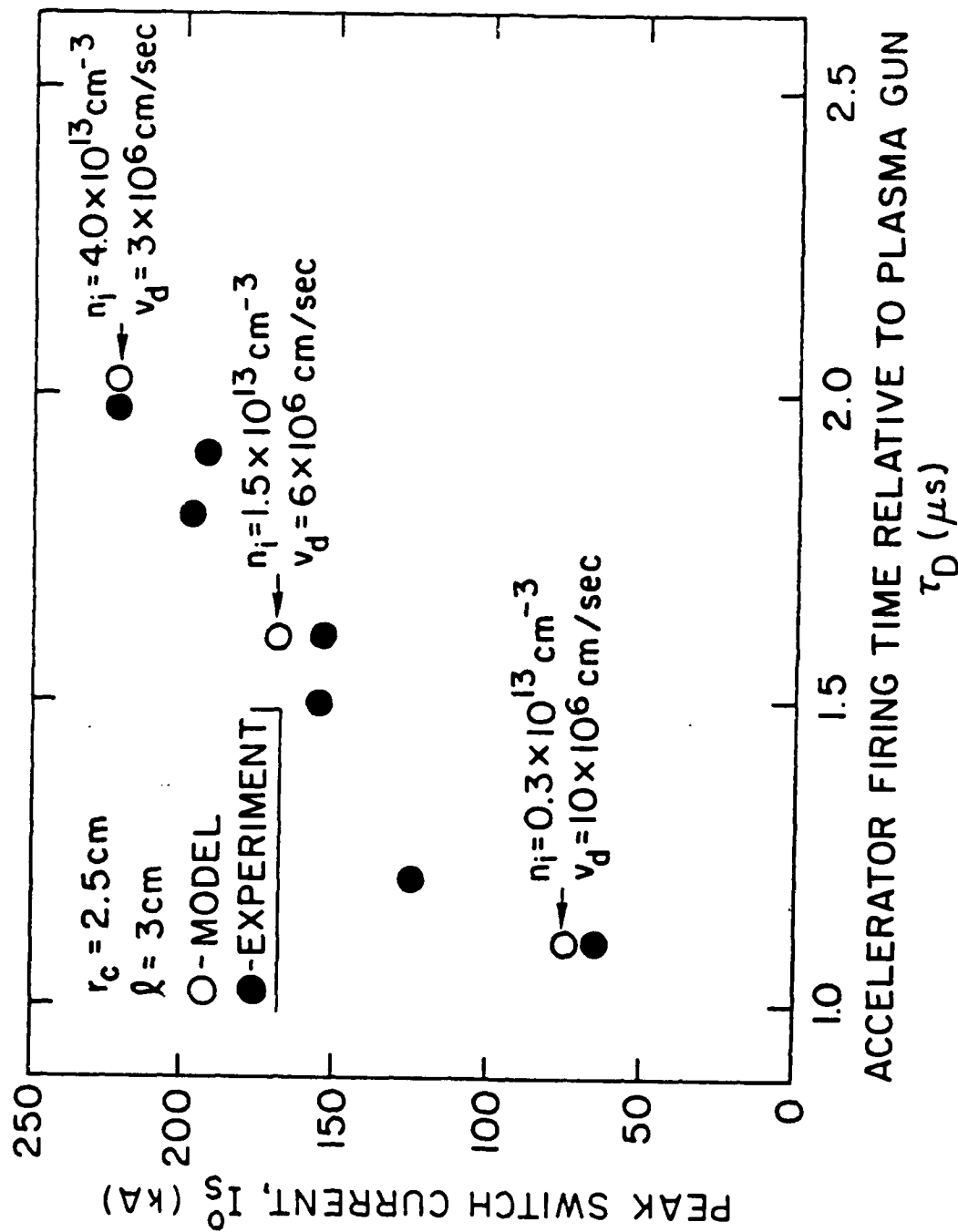


Fig. 9 — Plot of peak switch current,  $I_s^o$  ( $I_s^o \equiv I_G - I_L$ ), as a function of relative delay,  $\tau_D$ . Also shown are numerical results from the model, using the measured values of  $n_i$  and  $v_d$ .

$$I_S(t) = (m_i/Zm_e)^{1/2} Z n_e v_d A \quad (5)$$

where  $A$  is the maximum current channel area ( $\approx 2\pi r_c^2$  for cylindrical geometry) allowed by the switch geometry. At this point the conduction phase ends. A voltage consistent with the Child-Langmuir law,  $V_S^{3/2} \propto D_0^2 I_S$ , appears across the switch and (depending on  $L_T$  and  $R_L$ ) on the load. For the plasma parameters quoted earlier,  $J_i \approx 30 \text{ A/cm}^2$ . If  $A \sim 1000 \text{ cm}^2$ , Eq.(5) predicts a current as high as  $I_S \sim 3 \text{ MA}$  may be conducted in this fashion.

Note that in this description of the conduction phase (Fig. 9) there is no  $\vec{J} \times \vec{B}$  force in the direction of power flow on the load side of the switch plasma until the current channel reaches it. If the opening occurs very rapidly after this instant, the current no longer flows between the electrodes and  $\vec{J} \times \vec{B} \approx 0$  in the direction of power flow. Some  $\vec{J} \times \vec{B}$  related motion on the driver side of the switch plasma will occur as soon as current flows through the switch. This can result in a compression of the plasma and thus an increase in the plasma density, which may affect the switch opening, as discussed next in the section on switch opening. If for this or some other reason the opening occurs very slowly after the current channel broadens to the load side of the switch, the switch plasma will be accelerated in the direction of power flow and a situation similar to that of a plasma accelerator will exist. For this situation, a different regime of physics (which will not be discussed here) is relevant. The switch should be designed so that the fast opening case obtains.

In this picture of conduction, the (small) ion current associated with the injected plasma controls the (large) current the switch will conduct before opening. Thus, for a given  $A$  and  $Z$  the conduction is essentially controlled by the directed ion flux density to the cathode,  $r_i \equiv n_i v_d$ . If

relativistic effects are neglected. Thus, for  $C^{++}$ ,  $I_e/I_i \approx 100$ , and the total current flowing in the switch  $I_S = I_e + I_i \approx I_e$ .

The conduction (and opening) phase has been studied in detail by using magnetic probes<sup>32</sup> to obtain spatially and temporally resolved measurements of the magnetic field inside the PEOS in the cylindrical geometry of Fig. (2). These measurements suggest that as the switch current increases, the current channel width at the cathode,  $\ell_c$  in Fig.8, also increases. This increase is predicted by the space charge condition of Eq.(3) with the ion current given by Eq.(2). In cylindrical geometry, we have<sup>33</sup> using Eq.(2) in Eq.(3)

$$\ell_c(t) \approx \frac{I_S(t)}{(m_i/Zm_e)^{1/2} 2\pi r_c J_i} . \quad (4)$$

Because  $J_i$  is constant, Eq.(4) predicts that the switch current density ( $\approx I_S/2\pi r_c \ell_c$ ) will also be constant. This effect is observed.<sup>32</sup> As the switch current increases, the current channel in the plasma is observed to broaden as rapidly as  $\ell_c(t)$ . The current channel is much larger than the collisionless skin depth,  $c/\omega_{pe}$ , where  $c$  is the speed of light and  $\omega_{pe}$  is the electron plasma frequency. This implies a resistivity for the plasma during the conduction phase that is much larger than classical and results in a predominantly radial current flow.

The switch will continue to conduct in the manner depicted in Fig. 8 as long as Eq. (4) can be satisfied, i.e., for  $\ell_c < \lambda$ . However, because the generator forces  $I_S$  to increase in time while the ion current density is fixed, the switch current eventually reaches a value where the ion current density integrated over the full switch area becomes insufficient to satisfy Eq. (4) i.e.,  $\ell_c = \lambda$ . This occurs when

## SWITCH CONDUCTION

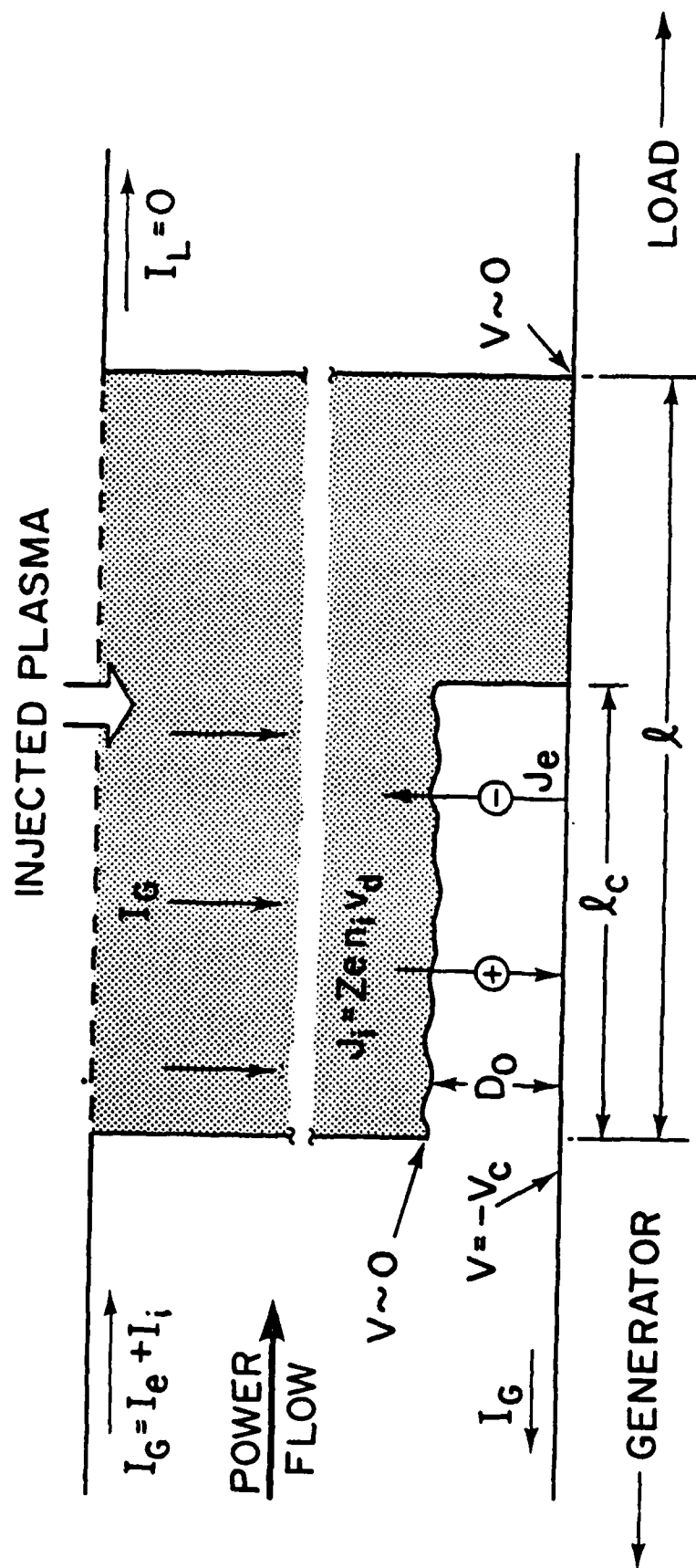


Fig. 8 — Schematic of switch operation during the conduction phase

schematically illustrated in Fig. 8. Initially, the only current flowing through the gap will be ion current associated with the flux of ions from the injected plasma. Very soon, however, the electrostatic field at the cathode becomes large enough<sup>29</sup> that field emission<sup>30</sup> of electrons occurs at the cathode. Electron and ion current are then conducted across the gap in a bipolar space-charge-limited fashion<sup>31</sup>. The ion current density at the plasma-gap boundary is

$$J_i = Zen_i v_d, \quad (1)$$

where  $n_i$  is the ion density in the plasma, assumed uniform and constant during conduction and opening,  $e$  is the electronic charge, and  $Z$  is the ion charge state. The ion drift speed  $v_d$  is the average component of ion velocity toward the cathode surface for ions entering the gap. It is usually approximated by the average plasma injection speed. Thus, at any time during conduction the ion current associated with the injected plasma is simply the integral of  $J_i$  over the area through which current is flowing. In cylindrical geometry we have

$$I_i = 2\pi r_c l_c(t) J_i. \quad (2)$$

Note that the switch area is a function of time. For bipolar space-charge-limited flow,<sup>31</sup>

$$\frac{I_i}{I_e} = (Zm_e/m_i)^{1/2}, \quad (3)$$

where  $I_e$  is the electron current,  $m_e(m_i)$  is the electron (ion) mass, and

value, which depends on only the plasma parameters, the plasma acts as a good conductor [Fig. 1(a)]. The conduction occurs through a non-neutral region, called a sheath or gap, at the cathode in a bipolar space-charge-limited fashion with the electron component emanating from the cathode and the ion component provided by the injected plasma. When the switch current density becomes high enough that the bipolar space-charge condition cannot be satisfied by the ions from the injected plasma, the gap widens, providing more ions. This is called the erosion phase. When the switch current increases to the point where the average electron Larmor radius is comparable with the gap size, the electron lifetime in the gap increases and as a result the space-charge condition is modified in such a way that even more ions are required. This is called the enhanced erosion phase and it is during this phase that the gap widens very quickly. A high voltage is generated across the gap and a substantial fraction of current is diverted to the load. The switch is totally open when the magnetic insulation phase is reached. This occurs at a value of current for which the average Larmor radius is less than the gap size and all the current reaches the load [Fig. 1(c)]. A more detailed explanation now follows.

## A. Switch Physics

### 1. Switch Conduction

As described in the previous section, a plasma is injected into the switch region and after a time  $\tau_0$  the generator is fired applying a high voltage to the cathode,  $-V_C$ . This voltage causes a non-neutral region or gap of dimension  $D_0$  (typically,  $D_0 \gg$  Debye length) to be formed at the cathode surface. The situation at one instant during the switch conduction is

will appear mostly across a sheath or gap between the plasma and the cathode. The actual flow of current through the plasma is associated with drift motion. One may define an effective "switch resistance" as the ratio of the voltage across the switch to the current flowing through it. However, this quantity will not necessarily be related to the resistivity of the plasma, which determines the fraction of the total energy dissipated by the switch in heating of the switch plasma. Most of the kinetic energy acquired by electrons that traverse the cathode sheath is lost to the anode when those electrons leave the system. The electrical equivalent of the switch behavior will be primarily determined by the cathode sheath physics.

A description of the PEOS operation was introduced, although in a non-switching context, by Miller et al.,<sup>27</sup> honed by Mendel et al.,<sup>18</sup> significantly modified by Meger, et al.<sup>10</sup> and later described more fully and compared closely to experiment by Ottinger, et al.<sup>28</sup> This description is a blend of theoretical ideas and experimental observations. It describes the observed quantitative behavior of the PEOS<sup>30</sup> and has been successfully used to make qualitative predictions. The PEOS physics is briefly summarized in the next paragraph. A more detailed explanation and justifications for assumptions made, along with a discussion of physics constraints on system design, follow in parts A and B respectively.

The operation is most easily described as a sequence of four phases: conduction, erosion, enhanced erosion, and magnetic insulation. The last three phases constitute the switch opening. Effects related to  $\vec{J} \times \vec{B}$  body forces and high density plasma associated with plasma-wall interaction or field emission are neglected here but will be discussed in what follows. The plasma is injected into the switch region and voltage applied to the cathode. As long as the switch current density remains below a predictable



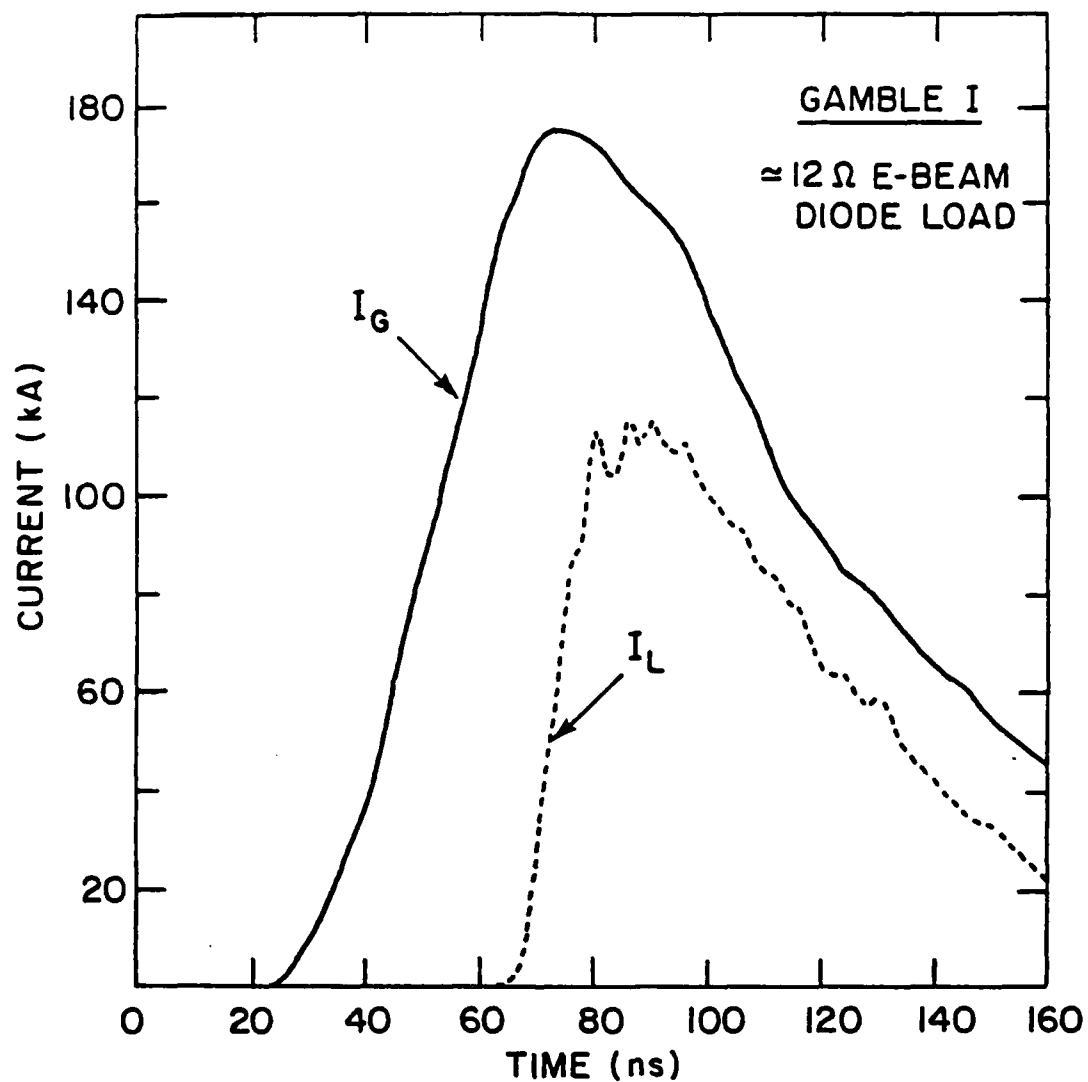


Fig. 7 — Measured currents flowing through the generator ( $I_G$ ) and load ( $I_L$ ) for Gamble I with an e-beam diode load

require a finite  $R_L$ . Some of these applications utilize an e-beam diode for the load. Data<sup>10</sup> from Gamble I using a nominal 12- $\Omega$  e-beam diode load are shown in Fig. 7. The geometry is identical to Fig. 2(a). The load current rises in  $\leq 10$ ns. However, for this example the peak load current only reaches about 65% of the peak generator current and  $I_L$  never reaches  $I_G$ , both in contrast to the short circuit case of Fig. 3. This current loss results in a reduction of the maximum power that can be delivered to the load. The cause of the current loss is rooted in the physics of the switch system.<sup>26</sup> As with most opening switches, the PEOS and the system strongly interact in such a way as to adversely affect the switch performance. By understanding the nature of the interaction, however, the losses illustrated in Fig. 7 can be minimized<sup>26</sup> (see Sec. III-B).

### III. Principles of Operation

The operation of any opening switch can be conveniently divided into two parts: conduction, [Fig. 1(a)], and opening [Figs. 1(b) and (c)]. During conduction the switch isolates the load from the rest of the system. In inductive-store applications the switch typically conducts for the time it takes to energize an inductor. When the switch opens, the current is rapidly diverted to the load. Any description of the PEOS operation must explain these switch properties as well as the rest of the phenomenology described in the previous section.

It is important to recognize at the outset that the PEOS conducts a large current ( $\sim$  MA) across a strong magnetic field ( $\geq 10$ kG) and diverts this current to a finite resistance load using a plasma for which the classical electron-ion momentum transfer time is much longer than either the electron cyclotron period or (in most cases) the time scale of switch operation ( $\sim 100$  ns). Also, because of Debye shielding, the voltage across the plasma

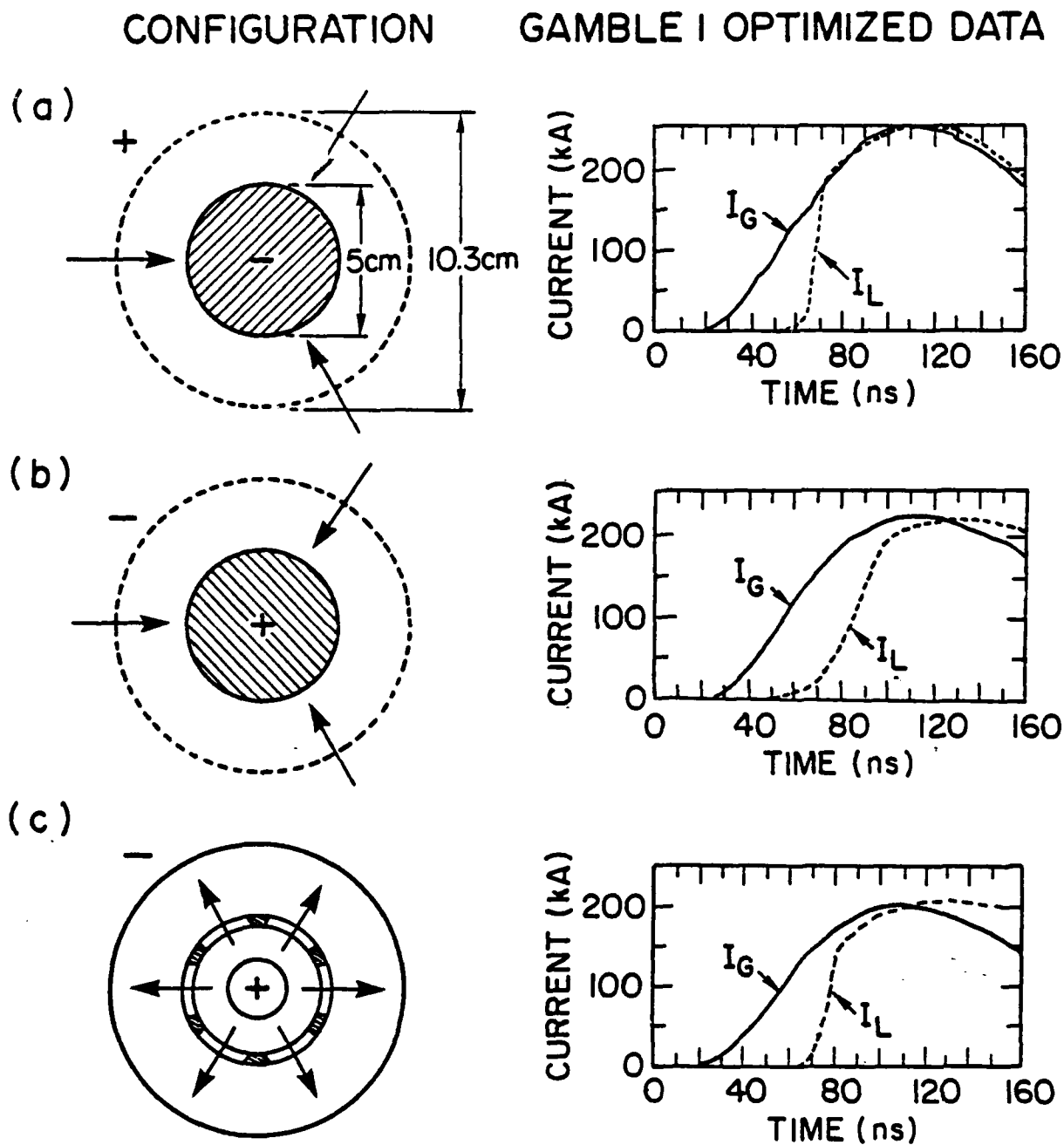


Fig. 6 — Illustration of Gamble I system geometry and data for various plasma injection/electrode polarity configurations: (a) inward injection toward cathode, (b) inward injection toward anode and (c) outward injection toward cathode.

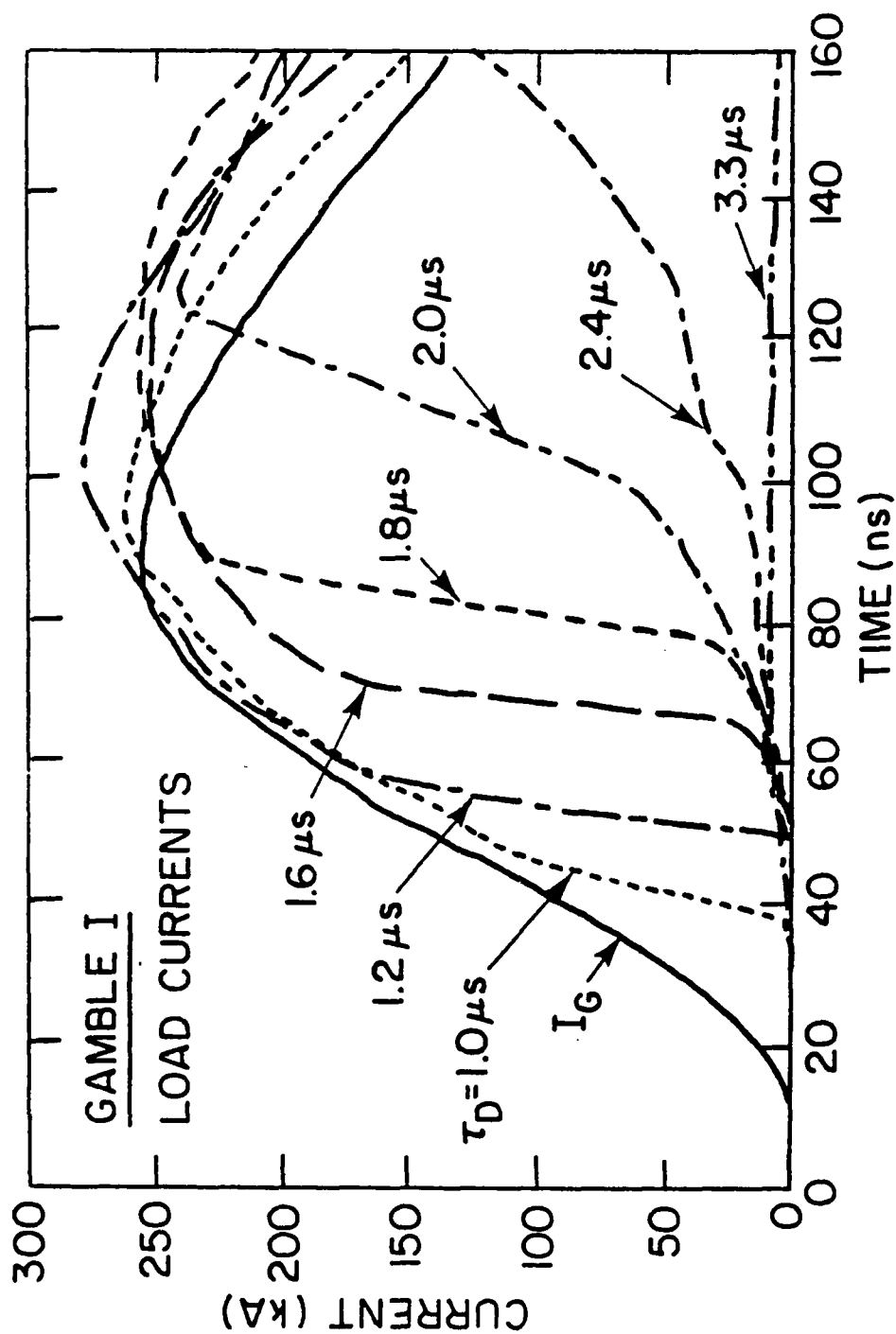


Fig. 5 — Plots of load currents,  $I_L$ , as a function of time for various time intervals between the plasma gun and generator firing,  $\tau_D$ . Also shown is a typical generator current,  $I_G$ . Data are from Gamble I with a short circuit load.

increased and the required ion current is greatly enhanced<sup>36</sup> over that given in Eq. (3). The new condition is<sup>36</sup>

$$\frac{I_i}{I_e} \approx [2m_e Z(\gamma + 1) / n_i]^{1/2} \frac{2}{D} \quad (8)$$

and the gap must open faster to provide the additional required ion current. This is called the enhanced erosion phase [Fig. 10(b)] and is responsible for the observed rapid switch opening. Equation (8) now determines  $I_i$  in Eq. (6) and erosion rates of  $dD/dt \sim 10^8$  cm/s can be obtained. For  $\gamma^2 \gg 1$ , Eq. (7) gives  $V_S \propto I_S D$ . Thus, as  $I_S$  and  $D$  increase,  $V_S$  also increases, forcing more current through the load.

Depending on the geometry, the magnetic field may vary along the switch length. Thus, electrons flowing across one part of the switch gap may experience a smaller magnetic field than those flowing across another part of the gap. When the load current becomes large enough that the magnetic field prevents electrons from traversing the gap anywhere, the switch is said to be magnetically insulated. This is the last phase of the switch opening and is depicted in Fig. 10(c). When  $I_L \gtrsim I_C$ , the electrons are completely insulated so that  $I_e = 0$ ,  $I_S = I_i \ll I_C$ , and the switch is open. The ion current is no longer enhanced because the magnetic field now holds the electron trajectories close to the cathode surface and  $I_i$  is simply the one species space-charge-limited current for ions.

To summarize the PEOS model, the PEOS remains closed during the conduction phase with the switch area and ion flux density from the injected plasma determining the peak switch current. The switch opening commences with the erosion phase, accelerates during the enhanced erosion phase and ends with the magnetic insulation phase. The transition of  $I_i$  from bipolar flow [Eq.

(3)] to enhanced flow [Eq. (8)] back to single species flow can be modeled in a continuous way.<sup>37</sup> A comparison of the measured time histories of  $I_G$  and  $I_L$  for Gamble I (nominal  $10\text{-}\Omega$  e-beam diode load) with those computed using this model is shown in Fig. 11. The model correctly predicts the observed conduction and opening characteristics.

Throughout this discussion  $\vec{J} \times \vec{B}$  forces have been assumed to be negligible in opening the gap. In the coaxial geometry of Fig. (2), magnetic field measurements<sup>32</sup> reveal that the current predominantly flows in the radial direction, suggesting small radial  $\vec{J} \times \vec{B}$  forces. Moreover, calculations for coaxial geometry that assume the current flows in a thin channel parallel to the axis before going radially to the cathode result in a time history and density scaling for opening that is not supported by experiment. The description of the conduction phase presented earlier asserts that  $\vec{J} \times \vec{B}$  forces perpendicular to the current flow will have negligible effect on the switch behavior as long as the current channel length increases more rapidly and the switch opens faster than the time for these forces to significantly accelerate the plasma. The magnetic field measurements on Gamble I indicate that axial  $\vec{J} \times \vec{B}$  forces are not significant<sup>32</sup>, in accordance with this assertion. However, experiments are needed to more fully explore the long conduction time ( $> 100\text{ ns}$ ), high current ( $\geq \text{MA}$ ) regime.

As discussed earlier in this section, for a given A and Z the directed ion flux density to the cathode,  $\Gamma_i = n_i v_d$ , controls how much current the switch will conduct before the erosion phase begins. How well the switch opens, on the other hand, will be determined by the gap size relative to the characteristic electron Larmor radius. Assuming  $v_d \ll dD/dt$ , Eq. (6) gives

$$\frac{dD}{dt} \approx \frac{I_i}{Ze n_i A} \cdot \quad (9)$$

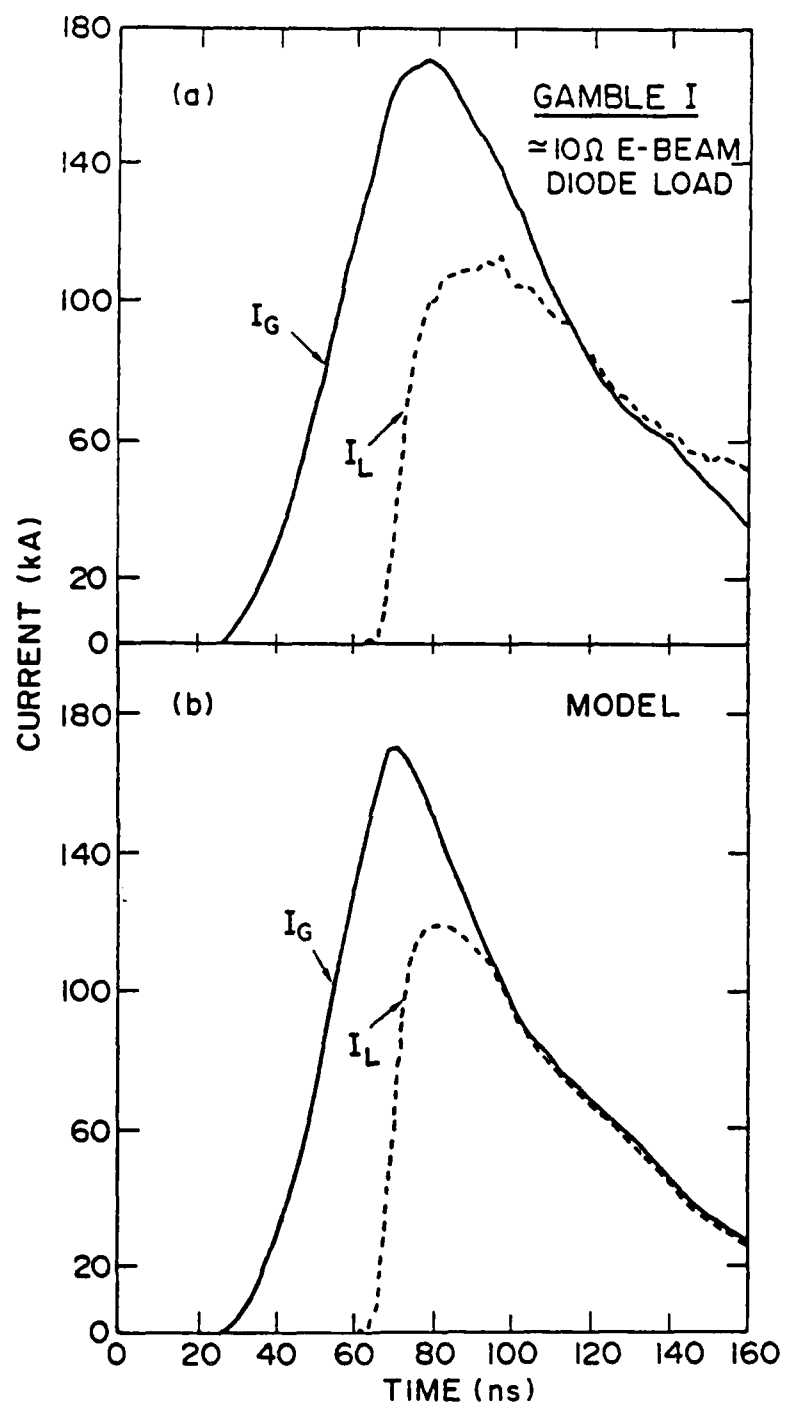


Fig. 11 — Comparison of measured and calculated time histories for generator ( $I_G$ ) and load ( $I_L$ ) currents

Thus, for a given value of  $I_i$ ,  $A$ , and  $Z$ , the rate at which the gap opens  $\propto n_i^{-1}$ . In order to achieve a high conduction current  $r_i A$  must be large [Eq. (5)] - but to achieve rapid opening and large final gap size  $n_i$  must be small [Eq. (9)]. This implies  $v_d$  must be large to have both high conduction current and these good opening characteristics. Present plasma sources<sup>19,20</sup> have  $Zer_i \approx 30 \text{ A/cm}^2$  with  $n_i \approx 10^{13} \text{ cm}^{-3}$  and  $v_d \approx 10^7 \text{ cm/s}$ . An important area of research is the development of plasma sources with similar or greater  $r_i$  but with lower  $n_i$ . For a given switch configuration, the optimum lower bound on  $n_i$  is determined from  $\vec{J} \times \vec{B}$  force considerations. The effect of varying  $n_i$  and  $v_d$  while keeping  $r_i$  fixed is illustrated in Fig. 12, which is a result of a computation for Gamble I parameters using the model just described.<sup>28,38</sup> Although the current at which the switch begins to open remains the same, the best switch performance is obtained with the highest  $v_d$  and lowest  $n_i$  [Fig. 12(a)]. This behavior has been confirmed in the laboratory. The plasma injection geometry will also affect the value of  $r_i$ , which explains the data in Fig. 6. For example, in Fig. 6(b), the flow velocity is toward the anode resulting in  $v_d$  and  $n_i$  at the cathode that are different from the cases illustrated in Figs. 6(a) and (b). Control of the PEOS behavior is strongly dependent on the "quality" of the directed flux to the cathode - a "high quality flux" having, for a given  $r_i A$ , a low  $n_i$  and high  $v_d$ .

## B. System Considerations

In order for the switch to operate in the most efficient way; i.e., for



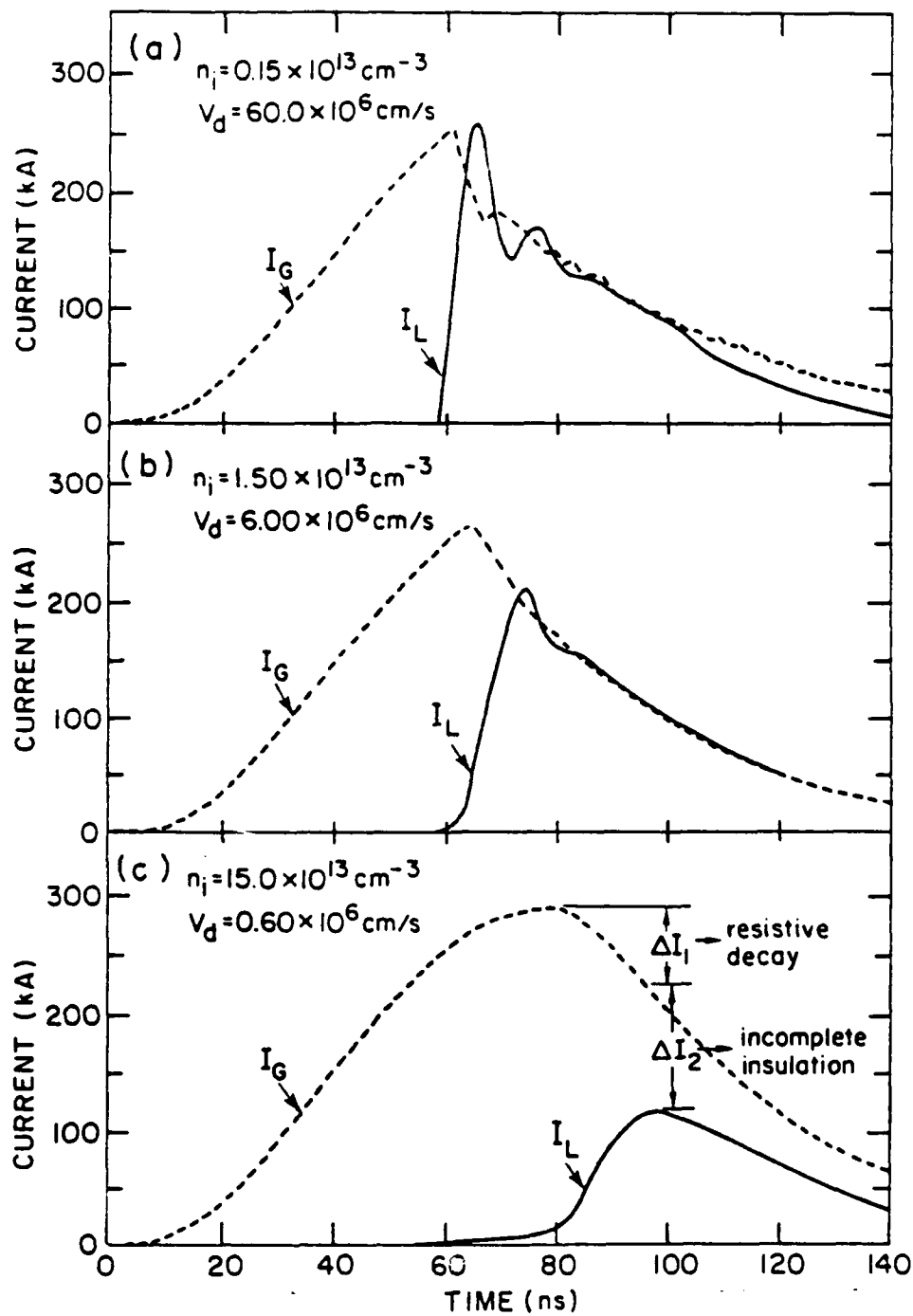


Fig. 12 — Results of numerical computations based on the PEOS model showing the effects of varying the values of  $n_i$  and  $v_d$  while keeping the product fixed. The load is a fixed  $6\Omega$  resistor.

as much of the stored inductor current to reach the load as is permitted by magnetic flux conservation, two conditions must be met. First, the switch must open in a time that is fast compared with the characteristic resistive decay time of the current during opening. This is equivalent to saying that magnetic flux be very nearly conserved during the opening. Second, the electrons in the gap must be totally insulated. The final switch gap must be large compared with the characteristic electron Larmor radius in the gap or, equivalently,  $I_L \geq I_C$ . If these two conditions are not met, then the situation depicted in Fig. 12(c) may arise. There, the generator current at the time of peak load current is much less than the peak generator current  $[(\Delta I_1 \text{ of Fig. 12(c)})]$  because the opening is slow compared with the current decay time during opening. A reduction in current of only 5% would be expected from magnetic flux conservation. There is also a loss of current to the load as evidenced by the finite difference between generator and load current after opening  $[\Delta I_2 \text{ of Fig. 12(c)}]$ , resulting from incomplete insulation. This loss is also evident in Fig. 7, where  $I_G > I_L$  after opening, indicating current is still flowing through the switch.

These two conditions can be combined to define an operational window for PEOS systems. An example of a result of this analysis<sup>39</sup> for the Gamble I generator is shown in Fig. 13. Here the accessible load voltages,  $V_L$ , are plotted as a function of the load resistance  $R_L$ , for an assumed switching time,  $\tau_S \sim I_L(dI_L/dt)^{-1}$ , storage inductance,  $L_0$ , and ratio of cathode radius to final gap size,  $r_c/D$ . The dotted line in Fig. 13 represents the maximum voltage that can be generated at the load,  $V_L = R_L I_L$ , consistent with resistive decay of the current during opening. The characteristic resistive decay time for the current is  $\sim L_0/R$ , where  $R$  is some effective system resistance during opening.  $V_L$  can not be higher than this dotted line for a

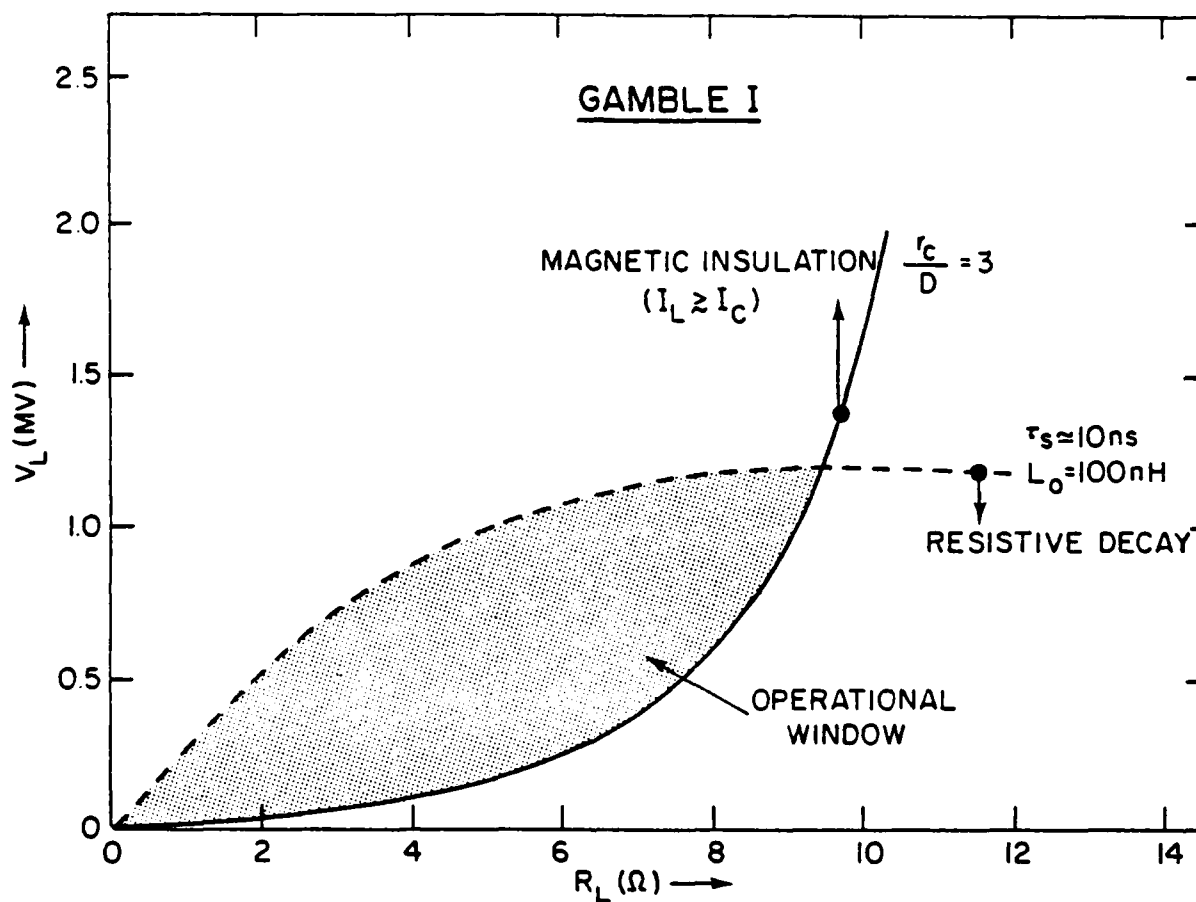


Fig. 13 — Operational window for a PEOS system on the Gamble I device for  $L_o = 100 \text{ nH}$ ,  $r_c/D = 3$ , and  $\tau_s = 10 \text{ ns}$ . Regime of efficient operation (minimum current loss) is area between dotted and solid line.

given  $R_L$ . Here, how efficiently the generator energizes the storage inductance has also been included. This efficiency can be as high as 80%, depending on the value of  $L_0$ . The solid line is obtained by requiring magnetic insulation, i.e.,  $I_L (=V_L/R_L) = I_C$ . To minimize current loss, the switch electrons must be insulated in the gap. For a given  $R_L$ ,  $I_L$  must be large enough that  $V_L$  lie at or above the solid line. Thus for a given  $\tau_S$ ,  $L_0$ , and  $r_C/D$  the region between the dotted and solid lines (shaded portion of Fig. 13) represents an operational window for efficient system operation.

If  $D$  is increased (for a given  $r_C$ ), it takes less current to insulate the electrons and therefore  $V_L$  will be smaller for a given  $R_L$ . This shifts the solid line in Fig. 13 to the right and down from the one shown. If  $\tau_S$  is decreased (for a given  $L_0$ ) the maximum current available to the load for a given  $R_L$  increases because the switching time becomes small compared with  $L_0/R$ . This increases the maximum accessible  $V_L$  for a given  $R_L$ , resulting in the dashed line in Fig. (13) shifting up from the one shown. Thus the system is optimized by making  $D$  as large and  $\tau_S$  as small as possible. In practice,  $D$  and  $\tau_S$  are themselves optimized through proper choice of plasma parameters (small  $n_i$ , large  $v_d$ ) as discussed in Sec. III-A [Eq.(9)].

This analysis illustrates the complex interactive nature of this switch system. In general, the strong interaction between the pulsed power system and opening switch is a characteristic of most inductive store systems, regardless of the particular opening switch employed. By properly designing the system and choosing the switch plasma parameters, current loss for a given application can be eliminated or at least minimized. Other loss mechanisms not addressed in the foregoing analysis are possible. For example, current loss can occur in the transition region between the switch and load. This effect will not be discussed here except to say that it has been shown

experimentally that this loss can also be minimized.<sup>26</sup>

#### IV. Applications

The PEOS has a wide variety of applications in fields of research and development that require power conditioning at high power levels ( $> 1$  TW) . Three applications of current interest will be briefly reviewed here.

One of the most exciting applications involves the adaptation of a PEOS inductive-store/pulse-compression system to state-of-the-art generators to produce a higher power, higher voltage, shorter risetime pulse than can otherwise be obtained from the generator. This application is loosely termed power and voltage multiplication and has particular relevance in Light Ion Beam Inertial Confinement Fusion (LIBICF) research.<sup>5,6-9</sup> Fast risetime and high voltage are particularly attractive for LIBICF because of the required short pulses (10-20 ns) and ion energies as high as  $\approx 30$  MeV (for lithium ions).

The potential for significant power and voltage multiplication was first demonstrated by Meger et al.<sup>10</sup> The data in Fig. 14 serve to illustrate the salient features of this application, albeit under non-optimized conditions. The data shown are the power to the load,  $P_L$ , the load voltage,  $V_L$ , and the energy delivered to the load,  $E_L$ , as functions of time for three cases: (1) Gamble I configured with the PEOS inductive-store/pulse-compression system shown in Fig. 2(a) and an e-beam diode load of nominally  $6\Omega$  ; (2) Gamble I configured with a matched,  $2\Omega$  constant resistance load (as a practical matter, a minimum of  $\approx 30$  nH separates the generator from the load in this configuration); and (3) Gamble I configured with a  $6\Omega$  constant resistance overmatched load (again with  $\approx 30$  nH of inductance between the generator and load). The data for the first case was obtained from a Gamble I shot. The

measured generator voltage waveform for that particular shot was used to calculate the parameters for the other two cases. The risetimes of both  $P_L$  and  $V_L$  with the PEOS system are  $\approx 10$  ns, compared with  $\approx 50$  ns for the conventionally configured systems.  $V_L$  is a factor  $\sim 2$  higher with the PEOS system compared with the matched load and slightly higher than the overmatched case; however,  $P_L$  is twice as high with the PEOS system compared with the overmatched case. For case 1, a current loss (discussed earlier) of  $\approx 23\%$  was observed, which accounts for the peak  $P_L$  with the PEOS being comparable with the matched load case. Power multiplication over the peak matched load power of  $\sim 1.5$  could have been obtained at  $\sim 1.4$  times the overmatched load voltage if all the current went to the load.

The cost associated with achieving power and voltage multiplication is illustrated in the bottom graph of Fig. 14. For the (non-optimized) shot illustrated, the PEOS system delivers  $\approx 66\%$  of the energy that could be delivered to a matched load. Note, however, that in the first 20 ns of the pulse, the PEOS system delivers twice as much energy to the load as the matched load case during the same time interval. There is presently a research effort at NRL directed toward improving the current transfer so that significant power and voltage multiplication can be obtained with a minimum cost in energy. This effort focuses on: (1) the development of new plasma sources which can provide a higher quality flux than is presently available from the sources now used and (2) making best use of the present sources through optimization of the system geometry. Recent calculations suggest that the PEOS system could give a factor  $\sim 2$  power multiplication and  $\sim 4$  voltage multiplication over matched-load values with the present sources for Gamble I parameters if the proper system geometry could be used.

With the hope of attaining some degree of power multiplication, initial

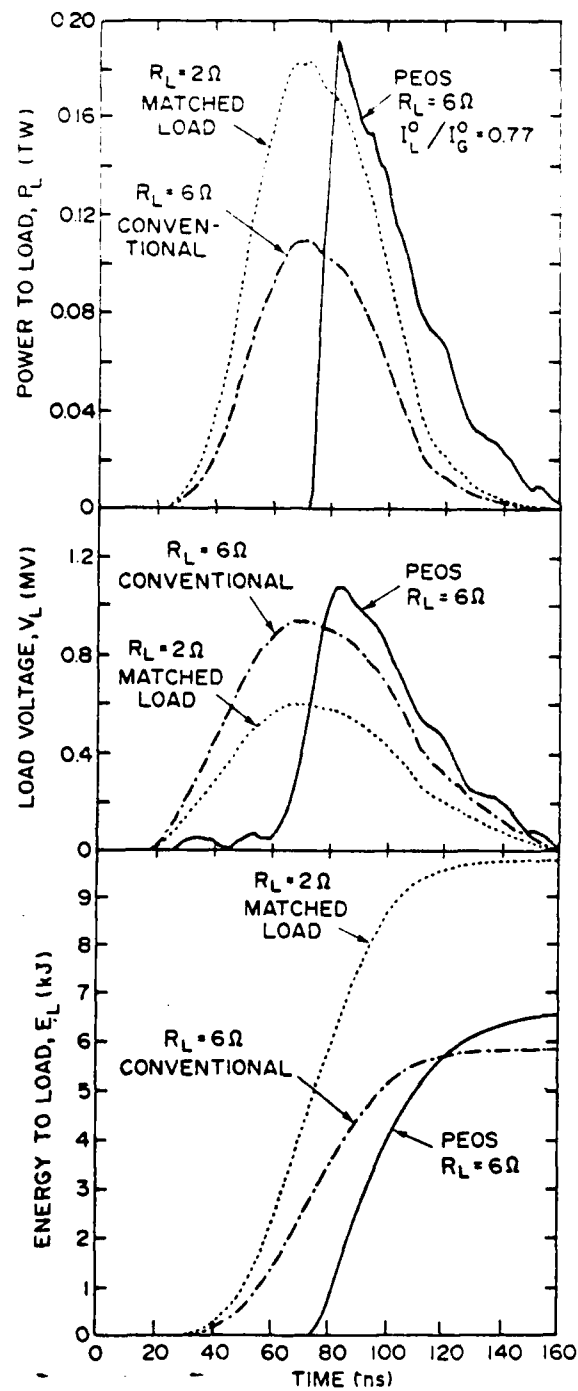


Fig. 14 — Sample data from Gamble I illustrating some advantages that a generator configured with a PEOS inductive store/pulse compression system has over a conventionally configured generator with both a matched load ( $2\Omega$ ) and an overmatched load ( $6\Omega$ )

PEOS experiments on the Particle Beam Fusion Accelerator I (PBFA I) at Sandia National Laboratories were performed.<sup>5,6,9</sup> In these experiments the PEOS was additionally used to minimize the effects of intermodule jitter, symmetrize power flow, and narrow the pulse width. The experiments were successful in the latter three areas. Current loss in the switch and between the switch and load prevented increased power to the load.<sup>5,6</sup> PBFA II,<sup>6,9</sup> which is presently under construction, is being designed from the start to take advantage of inductive-store/pulse-compression techniques. For PBFA II, the PEOS must transfer  $\approx 6$  MA in  $\approx 10$  ns generating  $\approx 30$  MV in a  $5\text{-}\Omega$  load. Calculations indicate that with proper system design and a small extension of present plasma source technology these requirements can be met.

Another application involves adapting the PEOS to a conventional generator, primarily to reduce prepulse, provide a shorter rise-time pulse, and improve the symmetry of the power flow. Here, a large vacuum inductive store region is not necessary. In addition to the impact on ICF discussed in the previous paragraph, this application has particular relevance to ion diode physics<sup>13,15,16</sup> and the generation of imploding z-pinch plasmas.<sup>12,14,17</sup> Experiments at Physics International Corporation<sup>14,17</sup> using imploding wire arrays and at NRL<sup>12</sup> using annular gas puffs indicate an improvement in the quality of the implosions (better axial uniformity, smaller pinch radius, etc.) when the PEOS is used. The NRL group also reports that K-line radiation from neon pinches can be up to 2.5 times higher with the PEOS than without the PEOS at the same current level.<sup>12</sup> Although a detailed understanding of these phenomena is still forthcoming, the preliminary experimental results are encouraging.

The final application considered here, and potentially the one with the most impact on the development of pulsed power, focuses on the eventual elimination of conventional generators from the pulsed-power system



altogether. Such generators usually employ a Marx type configuration of capacitors as a prime energy store in conjunction with a water (or oil) dielectric pulse-forming line. They require large quantities of oil and high-purity water for high voltage insulation and they employ a high voltage ( $> 1$  MV) vacuum interface. These generators tend to be very large and do not scale economically to high energy ( $\geq 20$  MJ) systems. One alternative system utilizes either a low voltage ( $\sim 50$  kV), compact, high energy density capacitor bank<sup>4,40,41</sup> or a homopolar generator<sup>2</sup> to slowly ( $10$ - $10^3 \mu\text{s}$ ) energize a vacuum inductor, after which a series of opening switches successively increases the voltage and decreases the pulse risetime. A critical element in this program is the development of the required opening switch technology. The PEOS is a prime candidate for the final opening switch in such a system. This work is just coming out of its infancy and should provide interesting results in the near future.

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